



DEPARTMENT OF TRANSPORTATION

Federal Railroad Administration

[Docket No. FRA 2015-0007-N-25]

Proposed Agency Information Collection Activities; Comment Request

AGENCY: Federal Railroad Administration (FRA), Department of Transportation

ACTION: Notice and request for comments.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995 and its implementing regulations, the Federal Railroad Administration (FRA) hereby announces that it is seeking renewal of the following currently approved information collection activities. Before submitting these information collection requests (ICRs) for clearance by the Office of Management and Budget (OMB), FRA is soliciting public comment on specific aspects of the activities identified below.

DATES: Comments must be received no later than [INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

ADDRESSES: Submit written comments on any or all of the following proposed activities by mail to either: Mr. Robert Brogan, Office of Safety, Regulatory Safety Analysis Division, RRS-21, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 25, Washington, DC 20590, or Ms. Kimberly Toone, Office of Information Technology, RAD-20, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 35, Washington, D.C. 20590. Commenters requesting FRA to acknowledge receipt of their respective comments must include a self-addressed stamped postcard stating, "Comments on OMB control number 2130-____." Alternatively, comments may be

transmitted via facsimile to (202) 493-6216 or (202) 493-6497, or via e-mail to Mr. Brogan at Robert.Brogan@dot.gov, or to Ms. Toone at Kim.Toone@dot.gov. Please refer to the assigned OMB control number in any correspondence submitted. FRA will summarize comments received in response to this notice in a subsequent notice and include them in its information collection submission to OMB for approval.

FOR FURTHER INFORMATION CONTACT:

Mr. Robert Brogan, Regulatory Safety Analysis Division, RRS-21, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 25, Washington, DC 20590 (telephone: (202) 493-6292) or Ms. Kimberly Toone, Office of Information Technology, RAD-20, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 35, Washington, DC 20590 (telephone: (202) 493-6132). (These telephone numbers are not toll-free.)

SUPPLEMENTARY INFORMATION:

The Paperwork Reduction Act of 1995 (PRA), Public Law No. 104-13, sec. 2, 109 Stat. 163 (1995) (codified as revised at 44 U.S.C. 3501-3520), and its implementing regulations, 5 CFR part 1320, require Federal agencies to provide 60-days notice to the public for comment on information collection activities before seeking approval for reinstatement or renewal by OMB. 44 U.S.C. 3506(c)(2)(A); 5 CFR 1320.8(d)(1), 1320.10(e)(1), 1320.12(a). Specifically, FRA invites interested respondents to comment on the following summary of proposed information collection activities regarding:

(i) whether the information collection activities are necessary for FRA to properly execute its functions, including whether the activities will have practical utility; (ii) the

accuracy of FRA's estimates of the burden of the information collection activities, including the validity of the methodology and assumptions used to determine the estimates; (iii) ways for FRA to enhance the quality, utility, and clarity of the information being collected; and (iv) ways for FRA to minimize the burden of information collection activities on the public by automated, electronic, mechanical, or other technological collection techniques or other forms of information technology (e.g., permitting electronic submission of responses). See 44 U.S.C. 3506(c)(2)(A)(I)-(iv); 5 CFR 1320.8(d)(1)(I)-(iv). FRA believes that soliciting public comment will promote its efforts to reduce the administrative and paperwork burdens associated with the collection of information mandated by Federal regulations. In summary, FRA reasons that comments received will advance three objectives: (i) reduce reporting burdens; (ii) ensure that it organizes information collection requirements in a "user friendly" format to improve the use of such information; and (iii) accurately assess the resources expended to retrieve and produce information requested. See 44 U.S.C. 3501.

Below is a brief summary of the currently approved ICRs that FRA will submit for clearance by OMB as required under the PRA:

Title: State Safety Participation Regulations and Remedial Actions

OMB Control Number: 2130-0509

Abstract: The collection of information is set forth under 49 CFR part 212, and requires qualified state inspectors to provide various reports to FRA for monitoring and enforcement purposes concerning state investigative, inspection, and surveillance activities regarding railroad compliance with Federal railroad safety laws and regulations.

Additionally, railroads are required to report to FRA actions taken to remedy certain alleged violations of law.

Form Number(s): FRA F 6180.33/61/67/96/96A/109/110/111/112 /144

Affected Public: Businesses

Respondent Universe: States and Railroads

Frequency of Submission: On occasion

Reporting Burden:

CFR Section	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours
Application For Participation	15 States	15 updates	2.5 hours	38 hours
State Railroad Technical Training Funding Agreement	30 States	30 agreements	1 hour	30 hours
Inspector Travel Planning and Reimbursement	30 States	300 vouchers	1 hour	300 hours
Annual Work Plan	30 States	30 reports	5 hours	150 hours
Inspection Form (FRA F 6180.96)	30 States	16,000 forms	15 minutes	4,000 hours
Violation Report – Motive, Power, and Equipment Regulations (Form FRA F 6180.109)	17 States	150 reports	4 hours	600 hours
Violation Report – Operating Practices Regulations (Form FRA F 6180.67)	16 States	200 reports	4 hours	800 hours
Violation Report – Hazardous Materials Regulations (Form FRA F 6180.110)	15 States	150 reports	4 hours	600 hours
Violation Report – Hours of Service Law (F 6180.33)	16 States	15 reports	4 hours	60 hours
Violation Report – Accident/Incident Reporting Rules (Form FRA F 6180.61)	16 States	15 reports	4 hours	60 hours
Violation Report – Track Safety Regulations (Form FRA F 6180.111)	25 States	60 reports	4 hours	240 hours
Violation Report – Signal and Train Control Regulations (Form FRA F 6180.112)	14 States	20 reports	4 hours	80 hours

Remedial Actions Reports	563 Railroads	4,200 reports	15 minutes	1,050 hours
Violation Report Challenge	563 Railroads	840 challenge	1 hours	840 hours
Delayed Reports	573 Railroads	420 reports	30 minutes	210 hours

Total Responses: 22,445

Estimated Total Annual Burden: 9,058 hours

Type of Request: Extension of a currently approved collection

Title: Use of Locomotive Horns at Highway-Rail Grade Crossings

OMB Control Number: 2130-0560

Abstract: Under title 49 part 222 of the Code of Federal Regulations, FRA seeks to collect information from railroads and public authorities in order to increase safety at highway-rail grade crossings nationwide by requiring that locomotive horns be sounded when trains approach and pass through these crossings or by ensuring that a safety level at least equivalent to that provided by blowing locomotive horns exists for corridors in which horns are silenced. FRA reviews applications by public authorities intending to establish new or, in some cases, continue pre-rule quiet zones to ensure the necessary level of safety is achieved.

Form Number(s): N/A

Affected Public: Businesses

Respondent Universe: 728 railroads/340 Public Authorities

Frequency of Submission: On occasion

CFR Section	Respondent Universe	Total Annual Responses	Average Time Per Response	Total Annual Burden Hours
222.11 – Penalties – Falsified Report	779 Railroads/340 Public Authorities	1 report/record	2 hours	2 hours

222.15 – Waiver Petitions	779 Railroads/340 Public Authorities	8 petitions	4 hours	32 hours
222.39 – Applications to Establish Quiet Zone - Diagnostic Team Rev. -- Updated Crossing Inventory Forms -- Copies of Quiet Zone Application -Comments to FRA on Quiet Zone Application	340 Public Authorities	15 applications	80 hours	1,200 hours
	340 Public Authorities	3 team reviews	16 hours	48 hours
	340 Public Authorities	75 updated forms	1 hour	75 hours
	340 Public Authorities	90 copies 30 comments	10 minutes 1.5 hours	15 hours 45 hours
222.43 – Written Notice of Public Authority Intent to Create New Quiet Zone and Notification to Required Parties -- Updated Crossing Inventory Forms Comments on proposed Quiet Zone -- Notice of Quiet Zone Establishment + Notification to Required Parties -- Updated Crossing Inventory Forms -- Certification by CEO of Public Authority Regarding Accuracy of Information	216 Communities/ Public Authorities	60 notices + 180 notifications	40 hours + 10 minutes	2,430 hours
	216 Communities 715 Railroads/State Agencies	300 updated forms	1 hour 4 hours	300 hours 480 hours
	316 Public Authorities	120 comments	40 hours + 10 minutes	2,460 hours
	316 Public Authorities	60 notices + 360 notifications	1 hour	300 hours
	216 Public Authorities	300 updated forms 60 certifications	5 minutes	5 hours
222.47 – Periodic Updates: Written Affirmation that Supplementary Safety Measures Implemented w/in Quiet Zone Conform to Rule or Terms of Approval -- Updated Crossing Inventory Forms	200 Public Authorities	62 written affirmations + 972 copies (to required parties)	30 minutes + 2 minutes	113 hours
	200 Public Authorities	810 updated forms	1 hour	810 hours
222.51 – Written Commitment to Lower Risk to Traveling Public in Quiet Zones Exceeding Nationwide Significant Risk Threshold --Comments Upon FRA Review of Quiet Zone Status	9 Public Authorities	5 written commitments	5 hours	25 hours
	3 Public Authorities	4 comments	30 minutes	2 hours
222.55 – Request for FRA Approval of New Supplementary Safety Measures or Alternative Safety Measures (ASMs) for Quiet Zone -- Comments on New SSMs or ASMs - Request for SSM/ ASM Approval –Demo.	265 Interested Parties	1 letter	30 minutes	1 hour
	265 Interested Parties/ General Public	5 comments	30 minutes	3 hours
	265 Interested Parties	1 letter	30 minutes	1 hour
222.57 – Petition for FRA Review of	265 Public Authorities/ Interested Parties	1 petition + 5 petition copies	60 minutes + 2 minutes	1 hour

Decision Granting or Denying a New SSM or ASM; Petition Copies to Relevant Parties -- Request for FRA Reconsideration of Disapproval of Quiet Zone + Party Copies -- Additional Documents to FRA as Follow-up to Petition for Reconsideration -- Letter Requesting FRA Informal Hearing	200 Public Authorities	1 letter + 6 letter copies	5 hours + 2 minutes	5 hours
	200 Public Authorities	1 additional document/ set of materials	2 hours	2 hours
	200 Public Authorities	1 letter	30 minutes	1 hour
222.59 – Written Notice of Use of Wayside Horn at Grade Crossing within Quiet Zone + Party Copies - Notice of Wayside Horn Outside Q. Zone	200 Public Authorities	5 notices + 30 notice copies	2.5 hours + 10 minutes	18 hours
	200 Public Authorities	5 notices + 30 notice copies	2.5 hours + 10 minutes	18 hours
Appendix B – Public Authority Record Relating to Monitoring and Sampling Efforts at Grade Crossing in Quiet Zone with Programmed Enforcement – Public Authority Record Relating to Monitoring and Sampling Efforts at Grade Crossing in Quiet Zone with Photo Enforcement	200 Public Authorities	1 record	500 hours	500 hours
	200 Public Authorities	1 record	9 hours	9 hours
222.129 – Written Reports/Records of Locomotive Horn Testing	779 Railroads	650 reports/records	60 minutes	650 hours

Total Estimated Responses: 4,359

Total Estimated Annual Burden: 9,551 hours

Status: Regular Review

Title: Safety Appliance Concern Recommendation Report; Safety Appliance Standards

Guidance Checklist Forms

OMB Control Number: 2130-0565

Type of Request: Revision of a previously approved information collection

Abstract: Sample car/locomotive inspections are performed as a courtesy to the car manufacturers to ensure that the equipment is built in accordance with all applicable Federal regulations and requirements. Car builders that desire to have FRA review their equipment for compliance with safety standards are to submit their safety appliance arrangement drawings, prints, etc., to the FRA Office of Safety Assurance and Compliance for review at least 60 days prior to construction. The sample car inspection program is designed to provide assurance that rolling stock equipment is compliant within the Code of Federal Regulations for use on the general railroad system. Although a sample car inspection is not required, most builders today request FRA to perform the inspection. The goal of the sample car inspection program is to reduce risk to railroad employees and improve passenger safety for the general public by ensuring rolling stock is fully compliant with all applicable regulations.

In an ongoing effort to conduct more thorough and more effective inspections of freight railroad equipment and to further enhance safe rail operations, FRA has developed a safety concern recommendation report form and a group of guidance checklist forms that facilitate railroad, rail car owner, and rail equipment manufacturer compliance with agency Railroad Safety Appliance Standards regulations. FRA will be obsoleting Forms FRA F 6180.4(a)-(q) and requesting OMB discontinue its current approval for these forms. FRA will be replacing these forms with new Forms FRA F 6180.161(a)-(k). The reason for the discontinuance of the previously approved forms and request for OMB approval of the new forms is due to the fact that 49 CFR part 231 is being supplemented

and expanded to cover new types of cars. For these new types of cars, FRA will be following the Standard established by the Association of American Railroads (AAR) Standard 2044 or S-2044.

When a request for sample car inspection incoming letter is provided by the customer, an abundant amount of information is submitted to FRA for review that may require a formal on-site inspection. The information contained in the letter includes several paragraphs to explain the cited Code of Federal Regulations that the customer believes related to the construction of the car. Since many cars today are considered a car of special construction, the type of car to be reviewed, many times the amount of details of information are supplied to support why the customer believes the car submitted is the nearest car to construction. An abundance of factors with justification to support the car type is included in the request. Some examples would be a Logo, Company Name, and signature block, specific drawings, reflectorization, engineering information such as test or modeling of components. Also, the request may include car reporting marks, the amount of cars that would be constructed in the car series. In addition, the request would provide the location of the inspection, contact person, title, and contact information. Currently, each request is written differently, but contains most of the information to process the request to completion.

The FRA region responsible for the sample car field sample car inspection is obliged to formally inspect the car for compliance. All the information in the customer request is forwarded to the region for review. Once the inspection is completed, the assigned inspector provides his report in a memorandum to the MP&E Specialist. The

MP&E Specialist reviews the documents and provides a memo to the Regional Administrator who sends a response by memorandum to FRA Headquarters of the finding from the field inspection.

FRA Headquarters is responsible for gathering all the information from the request from the customer as well as assigning and forwarding the information to the Region. All the information is reviewed by the MP&E Specialist at Headquarters. The MP&E Specialist prepares a grid letter response for the MP&E Staff Director who then offers the response letter to the Director, Office of Safety Assurance and Compliance. The formal response letter is then sent to the customer through the Control Correspondence Management (CCM) system.

Form Number(s): New Forms FRA F 6180.1614(a)-(k)

Affected Public: Businesses

Respondent Universe: FRA Customers/State Inspectors

Frequency of Submission: Annually

Reporting Burden:

Form	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours
New Form FRA F 6180.161a (S-2044, Appendix A)	FRA Customers/ State Inspectors	20 forms	60 minutes	20 hours
New Form FRA F 6180.161b S-2044, Appendix B-1)	FRA Customers/ State Inspectors	7 forms	60 minutes	7 hours
New Form FRA F 6180.161c S-2044, Appendix C-1)	FRA Customers/ State Inspectors	15 forms	60 minutes	15 hours

Form	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours
New Form FRA F 6180.161a (S-2044, Appendix A)	FRA Customers/ State Inspectors	20 forms	60 minutes	20 hours
New Form FRA F 6180.161b S-2044, Appendix B-1)	FRA Customers/ State Inspectors	7 forms	60 minutes	7 hours
New Form FRA F 6180.161d S-2044, Appendix D-2)	FRA Customers/ State Inspectors	15 forms	60 minutes	15 hours
New Form FRA F 6180.161e S-2044, Appendix D-3)	FRA Customers/ State Inspectors	15 forms	60 minutes	15 hours
New Form FRA F 6180.161f S-2044, Appendix D-4)	FRA Customers/ State Inspectors	10 forms	60 minutes	10 hours
New Form FRA F 6180.161g S-2044, Appendix E-1)	FRA Customers/ State Inspectors	3 forms	60 minutes	3 hours
New Form FRA F 6180.161h S-2044, Appendix E-2)	FRA Customers/ State Inspectors	3 forms	60 minutes	3 hours

New Form FRA F 6180.161i S-2044, Appendix F-1)	FRA Customers/ State Inspectors	20 forms	60 minutes	20 hours
New Form FRA F 6180.161j S-2044, Appendix F-2)	FRA Customers/ State Inspectors	3 forms	60 minutes	3 hours
New Form FRA F 6180.161k S-2044, Appendix G-1)	FRA Customers/ State Inspectors	10 forms	60 minutes	10 hours

Total Estimated Annual Responses: 121

Total Estimated Total Annual Burden: 121hours

Status: Revision of a Currently Approved Collection

Title: FRA Safety Advisory 2015-03, Operational and Signal Modifications for

Compliance with Maximum Authorized Passenger Train Speeds and Other Restrictions

OMB Control Number: 2130-0613

Type of Request: Regular Clearance of a Previously Approved Emergency Clearance

Affected Public: 28 Railroads

Abstract: FRA issued Safety Advisory 2015-03 on June 12, 2015 (see 80 FR 33585) to stress to passenger railroads and railroads that host passenger service and their employees the importance of compliance with Federal regulations and applicable railroad rules governing applicable passenger train speed limits. This safety advisory makes recommendations to these railroads to ensure that compliance with applicable passenger train speed limits is addressed by appropriate railroad operating policies and procedures and signal systems.

Reporting Burden:

Safety Advisory 2015-03	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours
(1) RR Review of Circumstances of the Fatal May 12, 2015, Philadelphia Derailment with their Operating Employees	28 Railroads	28 RR Bulletins	8 hours	224 hours
(2) RR Survey of their Entire Systems or the Portions on Which Passenger Service is Operated and Identification of Main Track Locations where there is a Reduction of More than 20 mph from the	28 Railroads	28 Surveys/Lists	40 hours	1,120 hours

Safety Advisory 2015-03	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours
Approach Speed to a Curve or Bridge and the Maximum Authorized Operating Speed for Passenger Trains at the Identified Location				
(3) Communications between Locomotive Engineer and a Second Qualified Crew Member in the Body of the Train at Identified Locations	28 Railroads	2,800 Messages/ Communications	2 minutes	93 hours
(4) RR Installation of Additional Wayside Signs throughout Its System or Portions on Which Passenger Service is Operated, with Special Emphasis at Identified Locations	28 Railroads	3,024 Wayside Signs	15.4839 minutes	780 hours

Form Number(s): N/A

Frequency of Submission: One-time; on occasion

Total Estimated Annual Responses: 5,880

Total Estimated Annual Burden: 2,217 hours

Pursuant to 44 U.S.C. 3507(a) and 5 CFR 1320.5(b), 1320.8(b)(3)(vi), FRA

informs all interested parties that it may not conduct or sponsor, and a respondent is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Authority: 44 U.S.C. 3501-3520.

Corey Hill

Executive Officer.

Billing code: 4910-06-P

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